FEB 22 2007 W

PTO/SB/21 (09-04) (AW 10/2004) Approved for use through 7/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/613,467
Filing Date	07/03/2003
First Named Inventor	David Myr
Art Unit	3692
Examiner Name	Ojo O. Oyebisi
Attorney Docket No.	MAK-105US

ENCLOSURES (Check all that apply)						
Fee Transmittal Form Fee Attached	PTO-2038 Licensing-related Papers		After Allowance Communication to TC			
Amendment/Reply After Final Affidavits/Declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s)	Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation, Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s)		Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): Post Card			
Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	Landscape Table on CD Remarks:					
SIGNATURE OF APPLICANT, ATTORNEY OR AGENT						
Firm Name Signature Printed Name Lawrence E. Ashery Date February 20, 2007 Registration No. 34,515						
Registration No. 34,515						
CERTIFICATE OF TRANSMISSION / MAILING						
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1459 Alexandria, VA 22313-1450 on the date shown below:						
Signature Mmsh-Marcall						
Typed or Printed Name Denise R. Marsl	all	Date	February 20, 2007			

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Appln. No.: 10/613,467 MAK-105US

Amendment Dated: February 20, 2007 Reply to Office Action of November 17, 2006

FEB 2 2 2007 Appln Appln

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Apple 10/613,467
Apple ant: David Myr
Filed: 07/03/2003

Title: MACHINE LEARNING AUTOMATIC ORDER TRANSMISSION SYSTEM FOR

SENDING SELF-OPTIMIZED TRADING SIGNALS

TC/A.U.: 3692

Examiner: Ojo O. Oyebisi

Confirmation No.: 4935

Docket No.: MAK-105US

<u>AMENDMENT</u>

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

identif	Responsive to the Office Action dated November 17, 2006, fied application as follows:	, please amend the above-
	Amendments to the Specification begin on page	of this paper.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Amendments to the Drawings begin on page of this paper and include an attached replacement sheet(s).

Amendments to the Abstract are on page of this paper. A clean version of the Abstract is on page of this paper.

Remarks/Arguments begin on page 6 of this paper.